NONLINEAR MODEL PREDICTIVE CONTROL OF DYNAMIC POSITIONING OF DEEP-SEA SHIPS WITH A UNIFIED MODEL

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Abstract

In this paper, a six degrees-of-freedom unified model of ship is established based on one dynamic positioning (DP) vessel, in which sea environment models of wind, current and waves are included. Then, the controller of DP ship based on a kind of nonlinear model predictive control algorithm is designed. By choosing an appropriate predictive period, the DP ship can move to the desired position and heading quickly and accurately. Finally, the stability of the developed control system for dynamic positioning of ships is proved by theoretical and simulation studies.

Key Words

Dynamic positioning, unified models, model predictive control, system stability

1. Introduction

Depending on the operational conditions, the vessel models may briefly be classified into stationkeeping, low-velocity and high-velocity models [1]. As shown in Sørensen [2], Fossen [3] and the references therein, different model reduction techniques are used for the various speed regimes. It will be difficult for the performance tests and design of controllers. In this context, a unified state-space model is presented in [4] which can contain different operational conditions and facilitate the design of controllers.

To maintain the position and heading of vessels, a system, called dynamic positioning (DP) system [5], was developed which can automatically compensate the environmental disturbance by means of active thrusters. Nowadays, offshore oil and gas industry in deep sea is the dominating market for DP vessels, as its cost does not increase with the increase in water depth and the operation. The overview of DP systems including references can be found

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in Fay [6], Sørensen [2] and Sørensen $et \ al.$ [7]. Many research groups worldwide have provided important results since the 1960s.

The DP system contains control system, position reference systems, sensors, the power system, thruster and propulsion system, independent joystick system and so on [5]. The DP control system is the key part among them. From a historical point of view, the proportional-integralderivative (PID) controller has been the dominating design technique since the 1960s. The PID control algorithm is a typical process control algorithm, which is simple and at low cost, but it may not be able to achieve the required control accuracy due to the limit of available control torque. In this context, many advanced modern control algorithms were presented, such as adaptive control [8], optimal control [9], intelligent control [10], model predictive control (MPC) [11] and so on. Among them, the adaptive control, optimal control and intelligent control are almost based on the intelligent algorithms. However, how to get the balance between real time and optimality is a big challenge for their applications. Under this circumstance, the MPC algorithm is widely used, whose effectiveness is due to the ease of its implementation (e.q. constraints on the control variables) and to the computation of optimal future sequences of manipulated variables for the maximization of performance [12].

Since the introduction of MPC presented by Richalet in 1978 [13], a number of relevant researches [14], [15], [16] were presented. Despite the success of linear MPC strategies, it is clear that their applicability to dynamic processes operating over wide operating regions would be strongly affected by the limited predictive capabilities of linear input–output empirical models [12].

Because of the shortage of the MPC algorithm, there has been an increasing focus on nonlinear model predictive control (NMPC) with rigorous dynamic models. NMPC technology has been widely used in aerospace, robotics and some other fields. Among the various NMPC algorithms, analytic MPC has its own advantages. The main features are that a specific analytical form of the optimal predictive controller is given, on-line optimization is unnecessary and the stability of closed-loop system is guaranteed [17]. Chen *et al.* presented a closed-form nonlinear predictive



Figure 1. Reference coordinate system.

controller using approximation [18]. A fuzzy adaptive analytic MPC method is proposed by Peng *et al.* [19] for a class of uncertain nonlinear systems. But these control algorithms are just suitable for nonlinear systems with defined relative degree [20]. For the ill-defined relative degree nonlinear systems, Chen *et al.* presented a switching predictive control scheme to deal with it [21]. However, it is easy for the system to cause chattering. To avoid the chattering, Zhang et al. [20] brought forward a non-switch NMPC method for nonlinear systems with ill-defined relative degree. However, this algorithm has several strict conditions: defined robust relative degree for the system and available high-order derivative for the output. It is limited for this non-switch NMPC algorithm's application on the unified vessel mathematical model with ill-defined relative degree. Under these circumstances, the improved NMPC control algorithm is applied for the DP controller in this paper. There is no need to satisfy the strict conditions in [20].

This paper is organized as follows: a six degrees-offreedoms (DOF) nonlinear unified model is established in Section 2 to describe the ship movement more accurately. An improved NMPC algorithm is exploited in Section 3 to the unified model of the DP ship in the harsh sea environment. The analysis of the stability is carried out in Section 4. Simulations are conducted to illustrate the performance of the proposed control algorithm in Section 5. The conclusion remarks are drawn in section 6, including some future work.

2. Unified State-Space Model of Ships

The positions and Euler angles of the ship are described by two rectangular coordinate systems: North-East-Down frame (n-frame) and Body-fixed frame (b-frame), as shown in Fig. 1, where $\eta = [x \ y \ z \ \phi \ \theta \ \psi]^T$ and $\nu = [u \ v \ w \ p \ q \ r]^T$ are the generalized positions and velocities.

According to Fossen [4], the unified mathematical model for DP ship is established which is given as follows:

$$\dot{\eta} = J_{\Theta}(\Theta_{nb})\nu$$

$$M\dot{v} + D(\nu)v + \mu + G\eta = \tau_{env} + \tau$$
(1)

where $M = M_{RB} + M_A \in \mathbb{R}^{6 \times 6}$, M_{RB} is the generalized rigid-body inertia matrix, M_A is the added mass inertia matrix, $D(\nu) = D_L + D_{NL}(\nu) \in \mathbb{R}^{6 \times 6}$, D_L is the linear damping matrix and $D_{NL}(\nu)$ is the nonlinear damping matrix. The restoring forces $g(\eta)$ can be linearized into $G\eta$ according to Faltinsen and Svensen [22], τ_{env} is a vector of environmental forces due to waves and wind and τ is the generalized control forces. Because DP ship's speed is very low, Coriolis and centripetal matrices are ignored. Matrix $J_{\Theta}(\Theta_{nb})$ is the kinematic transformation matrix given by the following equation:

$$J_{\Theta}(\Theta_{nb}) = \begin{bmatrix} R_b^n(\Theta_{nb}) & 0_{3\times3} \\ 0_{3\times3} & T_{\Theta}(\Theta_{nb}) \end{bmatrix}$$
(2)

$$R_b^n(\Theta_{nb}) = \begin{pmatrix} c\psi c\theta & -s\psi c\varphi + c\psi s\theta s\varphi & s\psi s\varphi + c\psi c\varphi s\theta \\ s\psi c\theta & c\psi c\varphi + s\psi s\theta s\varphi & -c\psi s\varphi + s\theta s\psi c\varphi \\ -s\theta & c\theta s\varphi & c\theta c\varphi \end{pmatrix}$$
(3)

$$T_{\Theta}(\Theta_{nb}) = \begin{pmatrix} 1 & s\varphi t\theta & c\varphi t\theta \\ 0 & c\varphi & -s\varphi \\ 0 & s\varphi/c\theta & c\varphi/c\theta \end{pmatrix}$$
(4)

where $s \cdot = \sin(\cdot)$, $c \cdot = \cos(\cdot)$ and $t \cdot = \tan(\cdot)$.

Then, μ is the memory effect of the fluid [3]. It can be calculated as follows:

$$\mu = \int_{-\infty}^{t} K(t-\tau)\delta\nu(\tau)d\tau = \int_{0}^{t} K(t-\tau)\delta\nu_{r}(\tau)d\tau \quad (5)$$

where $K(t-\tau)$ is the retardation function, $\delta\nu(t) = \nu(t) - \bar{\nu}(t)$ and $\bar{\nu}(t) = [U \ 0 \ 0 \ 0 \ 0]^T$. If $\delta\nu$ is a unit impulse, (5) can be approximated as a linear state-space model [23]:

$$\begin{cases} \dot{\chi} = A_r \chi + B_r \delta v, \\ \mu = C_r \chi + D_r \delta v, \end{cases} \qquad (6)$$

where A_r , B_r , C_r and D_r are constant matrices.

At last, the effects of ocean current disturbance can be considered in the system as follows:

$$v_r = \begin{bmatrix} u - u_c & v - v_c & w & p & q & r \end{bmatrix}^T$$

$$= \begin{bmatrix} u_{rc} & v_{rc} & w & p & q & r \end{bmatrix}^T$$
(7)

where u_c and v_c are the velocity components of current. So, (1) can be rewritten as follows:

$$\dot{\eta} = J_{\Theta}(\Theta_{nb})\nu$$

$$M\dot{v}_r + D(v_r)v_r + \mu + G\eta = \tau_{env} + \tau$$
(8)

3. Controller Design

For simplicity without the loss of generality, only three degrees of freedom (surge, sway and yaw) are considered in (8) as follows:

$$\dot{\eta} = R(\psi)\nu$$

$$M\dot{v} + Dv = \tau_{env} + \tau - \mu = \tau_{total}$$
(9)

Then, M, D and the kinematic transformation matrix $R(\psi)$ will take the following forms:

$$R(\psi) = \begin{bmatrix} \cos \psi & -\sin \psi & 0\\ \sin \psi & \cos \psi & 0\\ 0 & 0 & 1 \end{bmatrix}$$
(10)

$$M = \begin{bmatrix} m_{11} & 0 & 0 \\ 0 & m_{22} & m_{23} \\ 0 & m_{32} & m_{33} \end{bmatrix}$$
(11)

$$D = \begin{bmatrix} d_{11} & 0 & 0 \\ 0 & d_{22} & d_{23} \\ 0 & d_{32} & d_{33} \end{bmatrix}$$
(12)

According to the literature [4], μ can be expressed as follows:

$$\mu = \begin{bmatrix} \mu_X \\ \mu_Y \\ \mu_N \end{bmatrix} = \begin{bmatrix} \mu_X \\ \mu_{Y1} + \mu_{Y2} \\ \mu_{N1} + \mu_{N2} \end{bmatrix}$$
(13)

where μ_X can be calculated as the following equation:

$$\dot{\xi}_X = A_X \xi_X + B_X u$$

$$\mu_X = C_X \xi_X + D_X u$$
(14)

where $A_X \in R_{5 \times 5}$, $B_X \in R_{5 \times 1}$, $C_X \in R_{1 \times 5}$, $D_X \in R_{1 \times 1}$ and $\xi_X \in R_{5 \times 1}$ are coefficient matrices. The remaining four variables are also available with the same method.

Then, (9) can be expanded to differential equations as follows:

$$\begin{cases} \dot{x} = u \cos \psi - v \sin \psi \\ \dot{y} = u \sin \psi + v \cos \psi \\ \dot{\psi} = r \\ \dot{u} = -\frac{d_{11}}{m_{11}}u + \frac{1}{m_{11}}\tau_{totalX} \\ \dot{v} = -\frac{d_{22}}{m_{22}}v - \frac{d_{23}}{m_{22}}r + \frac{1}{m_{22}}\tau_{totalY} \\ \dot{r} = -\frac{d_{32}}{m_{33}}v - \frac{d_{33}}{m_{33}}r + \frac{1}{m_{33}}\tau_{totalN} \\ y_1 = x \\ y_2 = y \\ y_3 = \psi \end{cases}$$
(15)

where y_1 , y_2 and y_3 are outputs of the system. Equation (15) can be rewritten as follows:

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$$\dot{\boldsymbol{x}} = f(\boldsymbol{x}) + g(\boldsymbol{x})\boldsymbol{u}$$

$$\boldsymbol{y} = h(\boldsymbol{x})$$
(16)

where

$$\boldsymbol{u} = \begin{bmatrix} u_1 & u_2 & u_3 \end{bmatrix}^T \\ = \begin{bmatrix} \tau_{totalX} & \tau_{totalY} & \tau_{totalN} \end{bmatrix}^T$$
(18)

The NMPC algorithm should be valued by a suitable performance index. Thus, a relatively accurate and comprehensive predictive control performance [24] can be approximated as follows:

$$J = \frac{1}{2}\lambda_{1}[\hat{y}(t+T) - \hat{y}_{d}(t+T)]^{T}[\hat{y}(t+T) - \hat{y}_{d}(t+T)] + \frac{1}{2}\int_{0}^{T}[\lambda_{2}(\hat{y}(t+T) - \hat{y}_{d}(t+T))^{T}(\hat{y}(t+T)) - \hat{y}_{d}(t+T))]d\zeta + \frac{1}{2}\int_{0}^{T}[\lambda_{3}\hat{u}^{T}(t+\zeta)\hat{u}(t+\zeta)]d\zeta = \frac{1}{2}\lambda_{1}\hat{e}(t+T)^{T}\hat{e}(t+T) + \frac{1}{2}\int_{0}^{T}[\lambda_{2}\hat{e}(t+T)^{T}\hat{e}(t+T) + \lambda_{3}\hat{u}^{T}(t+\zeta)\hat{u}(t+\zeta)]d\zeta$$
(20)

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where T is the predictive period and the tracking error defined as the following form: $\hat{\boldsymbol{e}}(t+\zeta) = \hat{\boldsymbol{y}}(t+\zeta) - \hat{\boldsymbol{y}}_d(t+\zeta)$, $\hat{\boldsymbol{y}}(t+\zeta)$ and $\hat{\boldsymbol{y}}_d(t+\zeta)$ stand for the predicted output and the desired predicted output. $\lambda_1, \lambda_3 \geq 0$ and $\lambda_2 > 0$ reflect the proportions of the terminal constraint of the output, the error of the output and the control sequence, respectively. The superior character " \wedge " represents that the variable is predicted and $\hat{\boldsymbol{y}}_d(t+\zeta)$ is usually constant.

In a receding cycle [t, t+T], the dynamic equation for (2) can be written as follows:

$$\begin{cases} \dot{\hat{\boldsymbol{x}}}(t+\zeta) = f(\hat{\boldsymbol{x}}(t+\zeta)) + g(\hat{\boldsymbol{x}}(t+\zeta))\hat{\boldsymbol{u}}(t+\zeta), \\ \hat{\boldsymbol{y}}(t+\zeta) = h(\hat{\boldsymbol{x}}(t+\zeta)), \end{cases} \quad \zeta \in [0,T] \end{cases}$$
(21)

where $\hat{\boldsymbol{x}}(t+\zeta)$ and $\hat{\boldsymbol{u}}(t+\zeta)$ are state and input, respectively. The initial value of $\hat{\boldsymbol{x}}(t+\zeta)$ in a receding cycle should meet the following form:

$$\hat{\boldsymbol{x}}(t+\zeta) = \hat{\boldsymbol{x}}(t), \quad \zeta = 0$$
(22)

According to (21) and (22), if the output makes the performance minimal, the optimal input of the controller will be achieved. Actually, the initial value of the optimal control law can be used in the system to meet the requirements:

$$\boldsymbol{u}(t) = \hat{\boldsymbol{u}}^*(t+\zeta), \quad \zeta = 0 \tag{23}$$

Receding optimization and feedback correction are in progress at every time of t and the performance index Jbecomes smaller and smaller so that the actual output keeps approaching the desired output.

Consider practical engineering applications, the assumption about the input is as follows:

$$\hat{\boldsymbol{u}}(t+\zeta) = \boldsymbol{u}(t) = const, \ \zeta \in [0,T]$$
(24)

Before the design of controller, $\hat{y}(t+\zeta)$ and $\hat{y}_d(t+\zeta)$ can be rewritten as follows:

$$\hat{\boldsymbol{y}}(t+\zeta) = \begin{bmatrix} \hat{y}_1(t+\zeta) \\ \hat{y}_2(t+\zeta) \\ \hat{y}_3(t+\zeta) \end{bmatrix} = \begin{bmatrix} \hat{x}(t+\zeta) \\ \hat{y}(t+\zeta) \\ \hat{\psi}(t+\zeta) \end{bmatrix}$$
(25)

$$\hat{\boldsymbol{y}}_{d}(t+\zeta) = \begin{bmatrix} \hat{y}_{1d}(t+\zeta) \\ \hat{y}_{2d}(t+\zeta) \\ \hat{y}_{3d}(t+\zeta) \end{bmatrix} = \begin{bmatrix} \hat{x}_{d}(t+\zeta) \\ \hat{y}_{d}(t+\zeta) \\ \hat{\psi}_{d}(t+\zeta) \end{bmatrix}$$
(26)

The predicted output and the predicted desired output will be approximated by its Taylor-series expansion to any specified accuracy as follows:

$$\hat{\boldsymbol{y}}(t+\zeta) = \boldsymbol{\xi}^{T}(\zeta)\boldsymbol{\chi}(t)$$

$$\hat{\boldsymbol{y}}_{d}(t+\zeta) = \boldsymbol{\xi}^{T}(\zeta)\boldsymbol{\chi}_{d}(t)$$
(27)

where

$$\boldsymbol{\xi}(\zeta) = \begin{bmatrix} \boldsymbol{\xi}_1 & \\ & \boldsymbol{\xi}_2 \\ & & \boldsymbol{\xi}_3 \end{bmatrix}$$
(28)

$$\boldsymbol{\xi}_1 = \boldsymbol{\xi}_2 = \boldsymbol{\xi}_3 = \begin{bmatrix} 1 & \zeta & \frac{\zeta^2}{2!} & \cdots & \frac{\zeta^N}{N!} \end{bmatrix}^T$$
(29)

$$\begin{aligned} \boldsymbol{\chi}_{d}(t) &= \begin{bmatrix} \boldsymbol{\chi}_{1d}^{T}(t) & \boldsymbol{\chi}_{2d}^{T}(t) & \boldsymbol{\chi}_{3d}^{T}(t) \end{bmatrix}^{T} \\ &= \begin{bmatrix} \hat{\boldsymbol{y}}_{1d}(t) & \cdots & \hat{\boldsymbol{y}}_{1d}^{(N)}(t) \\ \vdots & \hat{\boldsymbol{y}}_{2d}(t) & \cdots & \hat{\boldsymbol{y}}_{2d}^{(N)}(t) \\ \end{bmatrix}^{T} \\ \end{aligned}$$
(31)

To simplify, N is defined as 3. Then invoking (27) into (20), the approximate expansion of J is as follows:

$$J \approx \frac{1}{2} [\boldsymbol{\chi}(t) - \boldsymbol{\chi}_d(t)]^T M[\boldsymbol{\chi}(t) - \boldsymbol{\chi}_d(t)] + \frac{1}{2} \lambda_3 T \hat{\boldsymbol{u}}^T \hat{\boldsymbol{u}} \quad (32)$$

where

$$M = \lambda_1 \boldsymbol{\xi}(\zeta) \boldsymbol{\xi}^T(\zeta)|_{\zeta = T} + \lambda_2 \int_0^T \boldsymbol{\xi}(\zeta) \boldsymbol{\xi}^T(\zeta) d\zeta$$
$$= \begin{bmatrix} M^{(1)} \\ M^{(2)} \\ M^{(3)} \end{bmatrix}$$
(33)

If following (34) is satisfied, then J will be minimal:

$$\left(\frac{\partial \boldsymbol{\chi}(t)}{\partial \hat{\boldsymbol{u}}}\right)^{T} M(\boldsymbol{\chi}(t) - \boldsymbol{\chi}_{d}(t)) + \lambda_{3}T\hat{\boldsymbol{u}} = 0$$
(34a)
$$\left(\frac{\partial \boldsymbol{\chi}(t)}{\partial \hat{\boldsymbol{u}}}\right)^{T} M \frac{\partial \boldsymbol{\chi}(t)}{\partial \hat{\boldsymbol{u}}} + \left(\frac{\partial \boldsymbol{\chi}(t)}{\partial \hat{\boldsymbol{u}}}\right)^{T} M(\boldsymbol{\chi}(t) - \boldsymbol{\chi}_{d}(t)) + \lambda_{3}T > 0$$

According to (24), $\hat{\boldsymbol{u}}^{(i)} = \boldsymbol{0}(i \ge 1)$ is easily obtained. So, $\hat{y}_{j}^{(i)}(t)$, $(i \ge 1)$ which conclude $\hat{\boldsymbol{u}}^{(i)}(i \ge 1)$ can be set to zero. For simplicity, the following notation is introduced as follows:

$$\hat{\boldsymbol{u}}^2 = \begin{bmatrix} \hat{u}_1^2 & \hat{u}_1 \hat{u}_2 & \hat{u}_2^2 & \hat{u}_2 \hat{u}_3 & \hat{u}_3^2 & \hat{u}_3 \hat{u}_1 \end{bmatrix}^T$$

Before calculating $\hat{y}_{j}^{(i)}(t), (i \ge 0)$, a mathematical tool-Lie derivative [25] need to be introduced. Below is its concept.

Definition 1. Given a function $h(x) : x \to R$ and a vector field $f(x) = [f_1 \dots f_n]^T$ defined on $x = [x_1 \dots x_n]^T$, the Lie

derivative can be interpreted as the directional derivative of h along f:

$$L_f h = \nabla h \cdot f(x) = \frac{\partial h}{\partial x} \cdot f(x) \tag{35}$$

The high-order Lie derivative is defined as follows:

$$L_f^i h = L_f \left(L_f^{i-1} h \right) = \nabla \left(L_f^{i-1} h \right) \cdot f(x), \quad \forall i = 1, 2, \dots$$
(36)

Specially, the zero-order Lie derivative is defined as follows:

$$L_f^0 h = h \tag{37}$$

If g is another vector field defined on x, define that:

$$L_g L_f h = \nabla (L_f h) \cdot g = \frac{\partial L_f h}{\partial x} \cdot g(x)$$
(38)

The form of $\hat{y}_k(t)$ and its derivatives are as follows:

$$\hat{y}_{k}(t) = qk_{0,0}
\hat{y}_{k}^{(1)}(t) = qk_{1,0}
\hat{y}_{k}^{(2)}(t) = qk_{2,0} + qk_{2,1}\hat{u}
\hat{y}_{k}^{(3)}(t) = qk_{3,0} + qk_{3,1}\hat{u} + qk_{3,2}\hat{u}^{2}$$
(39)

where

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$$qk_{0,0} = h_k$$

$$qk_{1,0} = L_f h_k$$

$$qk_{2,0} = L_f^2 h_k$$

$$qk_{2,1} = \begin{bmatrix} L_{g_1} L_f h_k & L_{g_2} L_f h_k & L_{g_3} L_f h_k \end{bmatrix}$$

$$qk_{3,0} = L_f^3 h_k$$

$$qk_{3,1} = \begin{bmatrix} L_{g_1} L_f^2 h_k + L_f L_{g_1} L_f h_k \\ L_{g_2} L_f^2 h_k + L_f L_{g_2} L_f h_k \\ L_{g_3} L_f^2 h_k + L_f L_{g_3} L_f h_k \end{bmatrix}^T$$

$$qk_{3,2} = \begin{bmatrix} L_{g_1}^2 L_f h_k \\ L_{g_2} L_{g_1} L_f h_k + L_{g_1} L_{g_2} L_f h_k \\ L_{g_2}^2 L_f h_k \\ L_{g_3} L_{g_2} L_f h_k + L_{g_2} L_{g_3} L_f h_k \\ L_{g_3}^2 L_f h_k \\ L_{g_3} L_{g_1} L_f h_k + L_{g_1} L_{g_3} L_f h_k \end{bmatrix}^T k = 1, 2, 3$$

According to the definition of Lie derivative, several calculation results can be obtained as follows:

$$L_{g_1}L_f h_1 = \frac{1}{m_{11}} \cos x_3$$

$$L_{g_2}L_f h_1 = -\frac{1}{m_{22}} \sin x_3$$

$$L_{g_3}L_f^2 h_1 = \frac{1}{m_{33}} \left(-x_4 \sin x_3 - x_5 \cos x_3 + \frac{d_{23}}{m_{22}} \sin x_3 \right)$$

$$L_{g_1}L_f h_2 = \frac{1}{m_{11}} \sin x_3$$

$$L_{g_2}L_f h_2 = \frac{1}{m_{22}} \cos x_3$$

$$L_{g_3}L_f^2 h_2 = \frac{1}{m_{33}} \left(x_4 \cos x_3 - x_5 \sin x_3 - \frac{d_{23}}{m_{22}} \cos x_3 \right)$$
(40)

where r_{ij} is the relative degree between the *i*th input and the jth output.

It is possible for the system state x to be zero. So, r_{11} , r_{21} , r_{31} , r_{12} , r_{22} and r_{32} are ill-defined relative degrees. It can be seen that the mathematical model of DP vessel is a nonlinear system with ill-defined relative degree.

For simplicity, the following notation is introduced:

$$q_{.,i}(\boldsymbol{x}) = \begin{bmatrix} q1_{,i}(\boldsymbol{x}) \\ q2_{,i}(\boldsymbol{x}) \\ q3_{,i}(\boldsymbol{x}) \end{bmatrix}, \quad i = 0, 1, 2$$
(41)

where

$$qk_{.,i}(\boldsymbol{x}) = \begin{bmatrix} qk_{0,i}(\boldsymbol{x}) \\ qk_{1,i}(\boldsymbol{x}) \\ \vdots \\ qk_{N,i}(\boldsymbol{x}) \end{bmatrix}, \quad k = 1, 2, 3, \quad i = 0, 1, 2 \quad (42)$$

$$\begin{cases} qk_{0,i}(\boldsymbol{x}) = 0, & 0 < i \le 2, \\ qk_{j,i}(\boldsymbol{x}) = 0, & 1 \le j \le i \end{cases}, \quad j = 1, 2, 3, \quad i = 0, 1, 2 \end{cases}$$

$$(43)$$

To reduce computational difficulty, $\hat{\boldsymbol{u}}^{i}(i \geq 2)$ is ignored, then:

$$\hat{U} = \begin{bmatrix} 1\\ \hat{u} \end{bmatrix}$$
(44)

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 $\boldsymbol{\chi}(t)$ can be organized as follows:

$$\boldsymbol{\chi}(t)^{T} = \left[q_{\cdot,0}(\boldsymbol{x}) \ q_{\cdot,1}(\boldsymbol{x}) \ q_{\cdot,2}(\boldsymbol{x}) \right] \begin{bmatrix} 1\\ \hat{\boldsymbol{u}} \end{bmatrix} = Q(\boldsymbol{x})\hat{U} \quad (45)$$

Invoking (45) into (34a), we can get an equation as follows:

$$q_{.,1}(\boldsymbol{x})^T M \left(q_{.,0}(\boldsymbol{x}) - \boldsymbol{\chi}_d \right) + \left(q_{.,1}(\boldsymbol{x})^T M q_{.,1}(\boldsymbol{x}) + \overline{M} \right) \hat{\boldsymbol{u}} = 0$$
(46)

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where

$$\overline{M} = \begin{bmatrix} \lambda_3 T & & \\ & \lambda_3 T & \\ & & \lambda_3 T \end{bmatrix}$$

According to (46), the optimal control law is:

$$\hat{\boldsymbol{u}}^{*}(t+\zeta) = -\left(q_{.,1}(\boldsymbol{x})^{T}Mq_{.,1}(\boldsymbol{x}) + \overline{M}\right)^{-1}$$

$$*q_{.,1}(\boldsymbol{x})^{T}M\left(q_{.,0}(\boldsymbol{x}) - \boldsymbol{\chi}_{d}\right) \quad \zeta \in [0,T]$$
(47)

According to (23), $\boldsymbol{u}(t)$ is:

$$\boldsymbol{u}(t) = -\left(q_{.,1}(\boldsymbol{x})^T M q_{.,1}(\boldsymbol{x}) + \overline{M}\right)^{-1} q_{.,1}(\boldsymbol{x})^T M\left(q_{.,0}(\boldsymbol{x}) - \boldsymbol{\xi}_d\right)$$
(48)

Therefore:

$$\tau = \boldsymbol{u} + \mu - \tau_{env}$$

= $-(q_{.,1}(\boldsymbol{x})^T M q_{.,1}(\boldsymbol{x}) + \overline{M})^{-1} q_{.,1}(\boldsymbol{x})^T M (q_{.,0}(\boldsymbol{x}) - \boldsymbol{\chi}_d)$
+ $\mu - \tau_{env}$ (49)

As is known, the computation burden [26] is an important issue for real-time control in the applications. According to the above process of NMPC controller design, it can be seen that the highest derivative order is only chosen as 3. Hence, the computation burden is acceptable for the system.

4. Stability Analysis

4.1 Case in Non-Singular Space

 $N_s = \left\{ x \in \mathbb{R}^n : \left| L_{g_1} L_f^{r-2} h_1(x) \right| > \sigma \right\} \text{ is defined as a closure of non-singular space [27], } r \text{ represents the relative degree of nonlinear system and } \sigma \text{ is the radius of } N_s. \text{ First, we define that:}$

$$\begin{cases} z_1 = h_1(x) - y_{1d} \\ z_2 = L_f h_1(x) - \dot{y}_{1d} \\ \vdots \\ z_{r-1} = L_f^{r-2} h_1(x) - y_{1d}^{(r-2)} \end{cases}$$
(50)

Then, the part of state equations (16) in which only $h_1(x)$ is considered is given as follows:

$$\dot{\boldsymbol{x}} = f(\boldsymbol{x}) + g(\boldsymbol{x})\boldsymbol{u}$$

$$\boldsymbol{y}_1 = h_1(\boldsymbol{x})$$
(51)

For simplicity, (51) is called the subsystem. It can be rewritten as follows:

$$\begin{cases} \dot{z}_1 = z_2 \\ \vdots \\ \dot{z}_{r-2} = z_{r-1} \\ \dot{z}_{r-1} = L_f^{r-1} h_1(x) - y_{1d}^{(r-1)} + L_{g_1} L_f^{r-2} h_1(x) u_1 \end{cases}$$
(52)

Theorem 1 can be obtained as follows.

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Theorem 1. If the subsystem (52) under the action of $u_1(t)$ satisfies the following assumption:

$$\frac{\lambda_3 T}{\sigma^2} \ll M_{r,r}^{(1)}, \ \frac{T^{r-2}}{\sigma^2} \ll M_{r,r}^{(1)}$$
 (53)

The subsystem can be regarded as a linear system whose system matrix is written as follows:

$$A_{1} = \begin{bmatrix} 0 & 1 & 0 & \cdots & 0 \\ 0 & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 1 \\ -\frac{M_{r,1}^{(1)}}{M_{r,r}^{(1)}} - \frac{M_{r,2}^{(1)}}{M_{r,r}^{(1)}} - \frac{M_{r,3}^{(1)}}{M_{r,r}^{(1)}} \cdots - \frac{M_{r,r-1}^{(1)}}{M_{r,r}^{(1)}} \end{bmatrix}$$
(54)

According to the stability criterion of the linear system, the necessary condition for the stabilization of the subsystem (52) is:

$$\max\{\operatorname{Re}\lambda(A_1)\} < 0 \tag{55}$$

Proof: Assuming $x = [f(t) \cdots f^{(n)}(t)]^T$, we can get that $\boldsymbol{\xi}_1^T x = f(t+\zeta) - o(\zeta^n)$ by using Taylor's formula, $\boldsymbol{\xi}_1$ is the element of $\boldsymbol{\xi}(\zeta)$.

Apparently, the latter element is a derivative of the former element with respect to the time in vector x. If $x = [x_1, *, x_2]^T$, then:

$$\boldsymbol{\xi}_{1}^{T} \begin{bmatrix} x_{1} \\ * \\ 0 \end{bmatrix} = f(t+\zeta) - o(\zeta^{m}) \tag{56}$$

$$\boldsymbol{\xi}_{1}^{T} \begin{bmatrix} \boldsymbol{0} \\ * \\ \boldsymbol{x}_{2} \end{bmatrix} = \boldsymbol{\xi}_{1}^{T} \begin{bmatrix} \boldsymbol{0} \\ * \\ \boldsymbol{0} \end{bmatrix} + \begin{pmatrix} \boldsymbol{\xi}_{1}^{T} \boldsymbol{x} - \boldsymbol{\xi}_{1}^{T} \begin{bmatrix} \boldsymbol{x}_{1} \\ * \\ \boldsymbol{0} \end{bmatrix} \end{pmatrix}$$
$$= \boldsymbol{\xi}_{1}^{T} \begin{bmatrix} \boldsymbol{0} \\ * \\ \boldsymbol{0} \end{bmatrix} + o(\zeta^{m}) - o(\zeta^{n}) = \frac{\zeta^{m}}{m!} \times * + o(\zeta^{m}) \tag{57}$$

When x_2 and determining element * does not meet the derivative relationship as above, x_2 can be broken down. If the derivative of * is easy to obtain, (57) will be always met. Therefore, x_2 could have more freedom forms.

When $x \in N_s$, according to $q1_{.,0}(x)$, $q1_{.,1}(x)$ and (57), we can obtain that:

$$\boldsymbol{\xi}_{1}^{T} q \mathbf{1}_{.,1}(\boldsymbol{x}) = L_{g_{1}} L_{f}^{r-2} h_{1}(x) \frac{\zeta^{r-1}}{(r-1)!} + o(\zeta^{r-1}) \qquad (58)$$

Further,

(

$$\begin{split} q_{1,,1}(x)^T M^{(1)} &= \lambda_1 q_{1,,1}(x)^T \boldsymbol{\xi}_1 \boldsymbol{\xi}_1^T |_{\zeta = T} \\ &+ \int_0^T \lambda_2 q_{1,,1}(x)^T \boldsymbol{\xi}_1 \boldsymbol{\xi}_1^T d\zeta \\ &= L_{g_1} L_f^{r-2} h_1(x) \left(\lambda_1 \frac{\zeta^{r-1}}{(r-1)!} \boldsymbol{\xi}_1^T |_{\zeta = T} \\ &+ \int_0^T \lambda_2 \frac{\zeta^{r-1}}{(r-1)!} \boldsymbol{\xi}_1^T d\zeta \right) \lambda_1 o(T^{r-1}) \\ &+ \lambda_2 o(T^{r-2}) \\ &= L_{g_1} L_f^{r-2} h_1(x) M_{r,\cdot}^{(1)}(x) + o(T^{r-2}) \end{split}$$
(59)

Similarly,

$$q1_{.,1}(\boldsymbol{x})^T M^{(1)} q1_{.,1}(\boldsymbol{x}) = M_{r,r}^{(1)}(\boldsymbol{x}) (L_{g_1} L_f^{r-2} h_1(\boldsymbol{x}))^2 + o(T^{r-2})$$
(60)

$$q_{1,1}(x)^{r} M^{(r)}(q_{1,0}(x) - \chi_{1d}) = L_{g_1} L_f^{r-2} h_1(x) \left(\sum_{i=1}^{r-1} M_{r,i}^{(1)} z_i + M_{r,r}^{(1)} \left(L_f^{r-1} h_1(x) - y_{1d}^{(r-1)} \right) \right) + o(T^{r-2})$$
(61)

Therefore:

$$\boldsymbol{u}_{1}(t) = -\frac{\sum_{i=1}^{r-1} M_{r,i}^{(1)} z_{i} + M_{r,r}^{(1)} [L_{f}^{r-1} h_{1}(x) - y_{1d}^{(r-1)}] + \frac{o(T^{r-2})}{(L_{g_{1}} L_{f}^{r-2} h_{1}(x))^{2}}}{M_{r,r}^{(1)} + \frac{\lambda_{3} T}{(L_{g_{1}} L_{f}^{r-2} h_{1}(x))^{2}} + \frac{o(T^{r-2})}{(L_{g_{1}} L_{f}^{r-2} h_{1}(x))^{2}}}$$
(62)

If the assumption (53) is satisfied, $\boldsymbol{u}_1(t)$ can be approximated as follows:

$$\boldsymbol{u}_{1}(t) \approx -\sum_{i=1}^{r-1} \frac{M_{r,i}^{(1)}}{M_{r,r}^{(1)}} z_{i} + L_{f}^{r-1} h_{1}(x) - y_{1d}^{(r-1)}$$
(63)

Invoking (63) into (51), Theorem 1 can be proved.

4.2 Case in Singular Space

Here, $L_{g_1}L_f^{r-2}h_1(x) \approx 0, L_{g_1}L_f^{r-1}h_1(x) \in o(1)$. We just need to add $z_r = L_f^{r-1}h_1(x) - y_{1d}^{(r-1)}$ into (50), the new state equation of the subsystem are as follows:

$$\begin{cases} \dot{z}_1 = z_2 \\ \vdots \\ \dot{z}_{r-1} = z_r \\ \dot{z}_r = L_f^r h_1(x) - y_{1d}^{(r)} + L_{g_1} L_f^{r-1} h_1(x) u_1 \end{cases}$$
(64)

Similarly, Theorem 2 can be obtained as follows.

Theorem 2. If the subsystem (64) under the action of $u_1(t)$ satisfies the following assumption:

$$\frac{\lambda_3 T}{(L_{g_1} L_f^{r-1} h_1(x))^2} \ll M_{r+1,r+1}^{(1)}, \frac{T^{r-1}}{(L_{g_1} L_f^{r-1} h_1(x))^2} \ll M_{r+1,r+1}^{(1)}$$
(65)

The subsystem can also be approximated as a linear system whose system matrix is given as follows:

$$A_{2} = \begin{bmatrix} 0 & 1 & 0 & \cdots & 0 \\ 0 & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 1 \\ -\frac{M_{r+1,1}^{(1)}}{M_{r+1,r+1}^{(1)}} - \frac{M_{r+1,2}^{(1)}}{M_{r+1,r+1}^{(1)}} - \frac{M_{r+1,3}^{(1)}}{M_{r+1,r+1}^{(1)}} & \cdots - \frac{M_{r+1,r}^{(1)}}{M_{r+1,r+1}^{(1)}} \end{bmatrix}$$
(66)

According to the stability criterion of the linear system, the necessary condition for the stabilization of the subsystem (64) is as follows:

$$\max\{\operatorname{Re}\lambda(A_2)\} < 0 \tag{67}$$

Proof: The proof of Theorem 2 is similar to Theorem 1.

4.3 Selection of Parameters

According to the analysis, the closed-loop system will be stable if the conditions (53), (55), (65) and (67) can be met under the action of u_1 . Because A_1 and A_2 are determined by $M^{(1)}$, T, λ_1 and λ_2 should be chosen suitably. According to the literature [17], T plays a major role compared with λ_1/λ_2 . Therefore, the value of T influences the performance of system strongly. In addition, to meet (53) and (65), λ_3 can be set to zero.

The control laws u_1 , u_2 and u_3 are independent, so we can use the same method to analyse the stability of u_2 and u_3 . We can find that $\lambda_1, \lambda_2, \lambda_3$ and T are occupied in u_1, u_2 and u_3 , so there is no need to choose them again.

5. Simulation

One dynamic positioning ship [28] is used as case study. The main particulars of ship, hydrodynamic coefficients of ship and constraints on the thrusters' generalized forces are given in Tables 1–3:

According to the previous analysis, λ_1 , λ_2 and λ_3 can be set as 1.0, 0.0005 and 0, respectively. However, the predictive period *T* plays a major role in the system compared with λ_1 , λ_2 and λ_3 . To find the ideal predictive period, the simulation experiments with different predictive periods were carried out as follows. Initial position and heading angle are $(0 \text{ m}, 0 \text{ m}, 0^\circ)$, desired position and heading angle are $(100 \text{ m}, 100 \text{ m}, 30^\circ)$. Wind velocity

Table 1 Main Particulars of DP Ship

Length (LOA)	$76.2\mathrm{m}$
Beam (B)	$18.8\mathrm{m}$
Draught (T)	$6.25\mathrm{m}$
Displaced volume (∇)	$4200\mathrm{m}^3$

Table 2Hydrodynamic Coefficients

Hydrodynamic coefficients	Value	Unit
X_u	-5.3122×10^{6}	$\rm kg\cdot m^{-1}$
Y_v	-2.7229×10^5	$\rm kg\cdot m^{-1}$
Y_r	4.3933×10^{6}	kg
N_v	4.3933×10^{6}	kg
N_r	-4.1894×10^{8}	$\mathrm{kg}\cdot\mathrm{m}$

 Table 3

 Constraints on the Thrusters' Generalized Forces

Maximal pitch thrust	$1000\mathrm{kN}$
Maximal roll thrust	$300\mathrm{kN}$
Maximal yaw moment	$7620\mathrm{kNm}$



Figure 2. Positions and Euler angle of unified model (T = 7.5 s).

and direction are $(10 \text{ m/s}, 90^\circ)$, significant wave height and wave direction are $(3 \text{ m}, 100^\circ)$, current velocity and direction are $(0.5 \text{ m/s}, 120^\circ)$. The position and heading angle of unified model with different predictive periods are shown in Figs. 2–4:

From Figs. 2 to 4, it can be seen that the controller can make the DP vessel move to the target position and heading



Figure 3. Positions and Euler angle of unified model (T = 9.0 s).



Figure 4. Positions and Euler angle of unified model (T = 11.0 s).

with different predictive periods. However, the response time becomes longer with the increase in the predictive period. Meanwhile, the overshoot along the north becomes obviously smaller with the increase in the predictive period. Therefore, the predictive period T can be chosen as 9.0 s based on the above analysis.

To verify the performance of the designed controllers, the simulation experiments should be carried out under different environmental conditions. For different environmental conditions, environment condition 1 is as similar as the simulation condition for the selection of predictive period; environment condition 2 is defined as follows: wind velocity and direction are $(5 \text{ m/s}, 90^\circ)$, significant wave height and wave direction are $(1 \text{ m}, 100^\circ)$, current velocity and direction are $(0.1 \text{ m/s}, 120^\circ)$. Obviously, environment condition 1 is harsher than condition 2. The simulation results under different environment conditions are shown in Figs. 5–8:



Figure 5. Positions and Euler angle of DP ship under environment condition 1.



Figure 6. Positions and Euler angle of DP ship under environment condition 2.

From Figs. 5 to 6, the DP vessel can both move to the target position and heading under the action of the proposed controller. However, the response time becomes obviously longer and the overshoot along the north becomes obviously bigger under environmental condition 1 due to the harsher sea state and larger generalized thrusts. Although the highest derivative order is simplified to 3 only for the reduction of computation burden, the positioning effect can still meet the positioning requirement.

From Figs. 7 to 8, it can be seen that the thrusts and moment of the thrusters under environmental condition 1 are obviously larger than those under environmental condition 2. But they are all within the constraints in Table 2. From Fig. 7, it can be seen that the thrusts and moment under environmental condition 1 have reached to the constraints, so the northern desired position is reached



Figure 7. Forces and moment of thrusters under environment condition 1.



Figure 8. Forces and moment of thrusters under environment condition 2.

more quickly than the eastern desired position due to the larger constraints of pitch thrust.

Moreover, it is known that the DP vessel system is a system with ill-defined relative degree from the process of controller design. However, the outputs of the NMPC controller are still continuous and smooth. So, it is proved that the improved NMPC controller is non-switched and able to avoid the chattering phenomenon. Moreover, this algorithm is suitable for the more general nonlinear systems with ill-defined relative degree.

6. Conclusion

Unified modelling and NMPC design for DP of deep-sea vessels are investigated in this paper. The unified mathematical model of the DP ship is derived in which the memory effect of the fluid is included. An improved analytic

NMPC method for nonlinear systems with ill-defined relative degree is applied in the unified model of the DP vessel. The controller is successfully demonstrated by simulation examples. By choosing an appropriate predictive period, the DP ship can move to the desired position and heading quickly and accurately under different environmental conditions. However, parameters' selection of the controllers is complex and difficult, where the simulation results are not perfect but acceptable for practical applications. Intelligence algorithms such as neural networks or genetic algorithm could be considered for parameters optimization in the following work. In addition, time delay and uncertainty are so prevalent in practical systems that they should be considered in the future work. Robust sliding mode control methods will be effective to deal with the delay and uncertainty of the systems.

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Conflict of Interests

The authors declare that there is no conflict of interests regarding the publication of this paper.

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