

MECHANISM DESIGN, DYNAMICS MODELLING AND EXPERIMENTS OF BIONIC UNDULATING FINS

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Abstract

The undulating fin propulsion is inspired by fish using Median and/or Paired Fin (MPF) mode. This mode provides advantages of the vector thrust production and small disturbance to the ambient flow field, and also it could be applied on underwater robots conveniently. Two bionic undulating fins are designed to imitate the structure and function of undulating fin of aquatic animals, which are fixed-waveform mode and independently-driven mode. The active deformation of bionic undulating fins is described by a kinematic model. Base on the kinematic model, a simplified computational model is derived theoretically to analyse the dynamics of the bionic propulsor. The dynamic model considers six components of forces and moments. The dynamics performance related to the geometric parameters, undulating parameters as well as the carrier velocity are further discussed through simulation. Furthermore, the above analytic method is verified through the thrust/moment and velocity test using the bionic propulsor.

Key Words

Undulating fins, bionic propulsor, dynamics modelling, mechanism design

1. Introduction

Fish locomotion can be classified into body and/or caudal fin (BCF) and median and/or paired fin (MPF) [1], [2]. As shown in Fig. 1, Amiiform, Gymnotiform, Balistiform, Rajiform are regarded as MPF mode. These fishes can generate thrust using undulating fins while their bodies keep rigid and straight. The thrust magnitude and direction is controlled by changing waveform, wavelength, frequency, amplitude and wave travelling direction [3], [4]. Various bionic prototypes are designed to imitate fishlike locomotion and used for mechanism study [5]–[21].

The propulsion mode of undulating fin represents some remarkable advantages, such as producing vector thrust

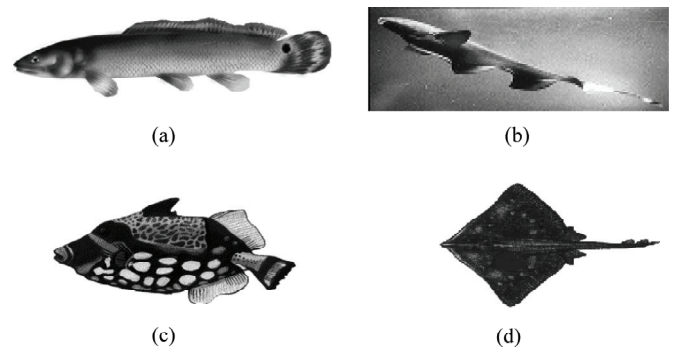


Figure 1. Fishes using undulating MPF mode [8]: (a) Amiiform; (b) Gymnotiform; (c) Balistiform; and (d) Rajiform.

and smaller disturbance to ambient flow field. The propulsion mode provides a bionic inspiration for underwater robots. Many research institutes have been developed the research on the undulating fin [4], [9–19], and the dynamics mechanism and prototype development of the undulating fin are hot topics in this field [5], [20]–[23].

In this paper, two different bionic propulsors are introduced which imitate the structure and function of the undulating fin. The kinematics and dynamics modelling is developed for the bionic undulating propulsion. Those theoretical results are further tested by proceeding experiments of the bionic fin.

2. Bionic Propulsor Inspired by Undulating Fish Fin

We have been studying the propulsion mechanism of the undulating fin since 2003. The research has mainly focused on the kinematics and morphology observation of bionic objects, dynamics modelling and analysis, computational fluid dynamics simulation, *etc.* [4], [8], [18]. A bionic fin was designed for the performance test of the bionic undulating propulsion and control system of bionic underwater robots in 2003. The bionic propulsor used a fixed-waveform scheme to realize rapid function verification, of which the transmission mechanism and control system has the minimal complexity. Based on this bionic undulating

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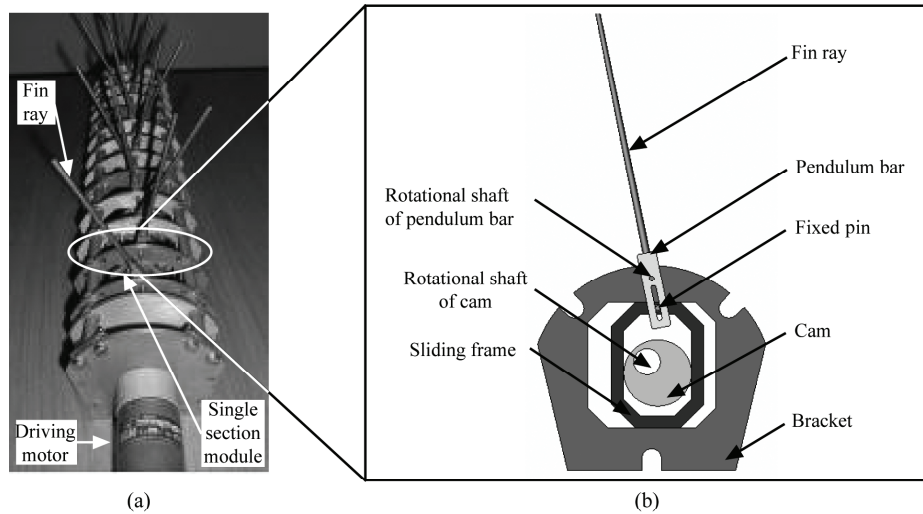


Figure 2. Structure of the fixed-waveform bionic undulating fin: (a) the actuating mechanism and (b) the single section module.

fin, a prototype of underwater vehicles was also developed. In 2005, we further developed a new bionic undulating fin using the independently driven scheme. Each fin ray of the new bionic fin was actuated by independently controllable digital servomotor. Therefore this bionic fin presented flexibility on imitating multiple wave modes. The fixed-waveform and independently driven bionic fins are next described in detail, respectively.

2.1 Fixed-Waveform Bionic Undulating Fin

The fixed-waveform bionic fin imitates fish fins in structure and produces propulsive travelling waves. It is formed of a flexible fin surface and an actuating mechanism. The fin surface includes several fin rays and a flexible membrane, which are made by spring steel and elastic latex materials, respectively. The fin rays are imbedded in the flexible membrane. The fin surface is designed not only considering the flexibility and stretchability, but also minimizing its average inner stress under any motion parameters. All of the fin rays have uniform length. All of the fin rays are driven by the actuating mechanism, to oscillate in accordance with the fixed phase relationship. As a result, the travelling wave generates on the fin surface, which is analogous to some wave mode of the fish undulating fin. The undulating frequency is the only controllable parameter for the fixed-waveform bionic fin and other wave parameters are solidified in the mechanism. The actuating mechanism consists of 13 sets of section modules, as shown in Fig. 2(a). The single section module is a minimal device to realize the oscillation of each fin ray, which consists of a cam, a sliding frame, a bracket and a pendulum bar, as shown in Fig. 2(b). The single section module is actuated by motor and transmitted by cam. The mechanism changes the motor's rotating motion into the fin ray's periodic oscillating motion. All modules are connected by one long shaft, which is driven by one motor. The phase relationship of the fin rays depends on the mounting phase of the cam relative to the long shaft. The rotational direction of the

long shaft decides the wave propagation direction. The wave frequency is in proportion to the rotation speed of the long shaft. Accordingly, the wave velocity and travelling direction are able to be adjusted by the motor's rotation rate and direction. It has compact structure and simple control system for this scheme. However, the disadvantage is the loss of control agility.

• *Prototype of bionic underwater vehicle*

The prototype of bionic underwater vehicle adopts the fixed-waveform bionic fin as propulsor, which is used to supply vector thrust for the prototype and realize the forward and backward propulsion as well as braking control. In addition, one criss-cross tail vane with two degrees of freedom is used as the steering control module, and one barycentre adjustment module is used as the pitching and balance control module.

- a. *Steering control module* consists of one criss-cross tail vane and two driving servomotors used to respectively control the level and vertical degree of freedom of the tail vane. During the sailing course, adjusting the angle of the vertical plane (level degree of freedom) of the tail vane can supply yawing moment to realize the steering control, and controlling the angle of the level plane (vertical degree of freedom) of the tail vane can also supply additional pitching moment to aid the pitching and balance control.
- b. *Pitching and balance control module* is made up of a barycentre adjustment module and its control-driven system. The module can produce pitching moment through adjusting the axial displacement of the barycentre, and accordingly realize the dynamic balance control along the axial direction of the bionic underwater vehicle and pitching attitude control. The test model can also realize self-stability of the rolling degree of freedom through downward collocating the barycentre of the carrier.

With the collaborative operation of these three parts, the prototype can control the thrust magnitude and direction, axial displacement of the barycentre, as well as

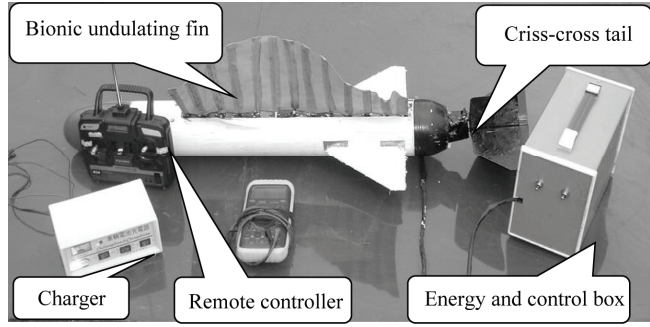


Figure 3. Prototype of bionic underwater vehicles.

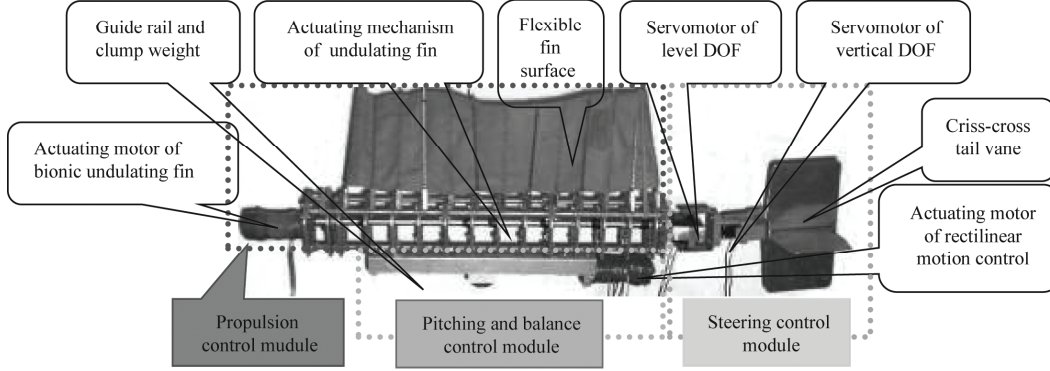


Figure 4. Structure of the bionic underwater vehicle.

angle and direction of the criss-cross tail vane, respectively. Therefore, the prototype not only has the capabilities of realizing the sailing stability control as well as the following basic motion modes, such as advancing, backing, braking, turning and pitching, but also can realize more complex three-dimensional motion through the combination control of the above-mentioned basic motion modes. We have carried on a series of integration tests and swimming trials on the prototype. The bionic undulating fin can swim both forward and backward, and complete the basic motions such as turning and pitching manoeuvre. The disturbance to the surrounding flow field and the noise is small. The prototype of bionic underwater vehicle and its system construction are shown in Figs. 3 and 4, respectively.

2.2 Independently Driven Bionic Undulating Fin

There is a great limitation for the fixed-waveform bionic fin to reproduce the fish fin's flexible motion. Another bionic undulating fin is developed, which has 17 fin rays. The structure is also designed as motor actuates and gear transmits. Each fin ray is actuated and controlled independently, so each fin ray could oscillate as the desired motion while its oscillating angle can be real-time adjusted. Therefore, the independently driven bionic undulating fin allows greater flexibility on imitating the propulsive wave motion. The normal sinusoid wave can be presented on the fin surface with 1–4 wave numbers, in light of the Nyquist sampling theorem. Figure 5 shows the structure of independently driven bionic fin, which includes

the fin's actuating mechanism and a single fin ray's swing mechanism.

For the fixed-waveform mode, it is a simple and efficient way to control the complicated structure, which brings about the friction, resulting in low efficiency and reliability. This device is mainly used for the qualitative study of wave propulsion performance. For the independently driven mode, every single fin ray is actuated by an independent servo motor and flexible control is realized. This mode can be used for simulating a variety of propulsion wave modes, and accurately studying the quantitative and qualitative effects of undulating parameters. The control system is more complex than that of fixed-waveform mode. In addition, the motor reliability will infect the performance of wave motion.

3. Kinematics Analysis of Bionic Undulating Fin

3.1 Kinematics Modelling

The fin surface coordinate system is defined as shown in Fig. 6 [4]. The baseline of the fin is considered as a fixed straight line. Each fin ray oscillates about a fixed point in a specific plane. The fin rays have no flexibility. The spatial motion surface model of the bionic undulating fin can be established as follows [4], [18]:

$$\begin{cases} x(s, l, t) = s \\ y(s, l, t) = l \cos[\theta(s, t)] \\ z(s, l, t) = l \sin[\theta(s, t)] \end{cases} \quad s \in [0, L], \quad l \in [0, L_q] \quad (1)$$

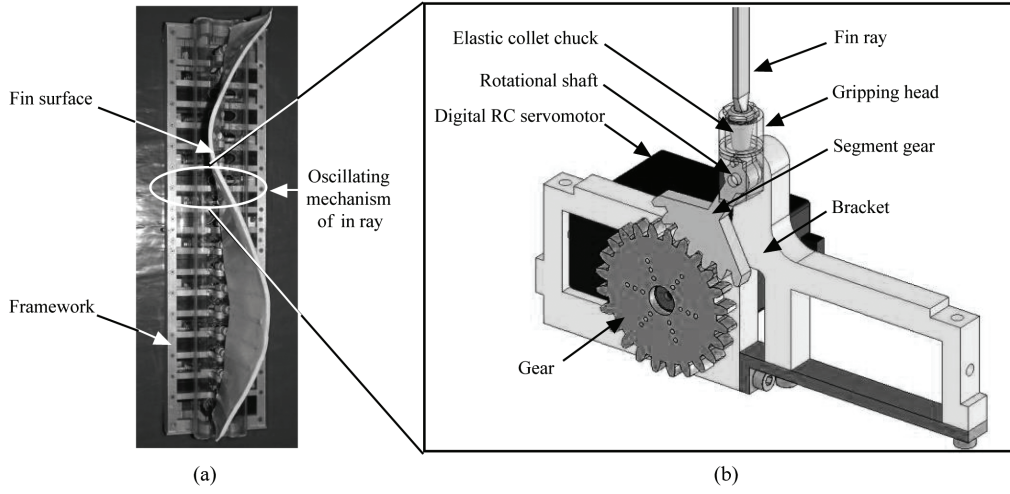


Figure 5. The structure of independently driven bionic undulating fin: (a) the actuating mechanism and (b) oscillating mechanism of the fin ray.

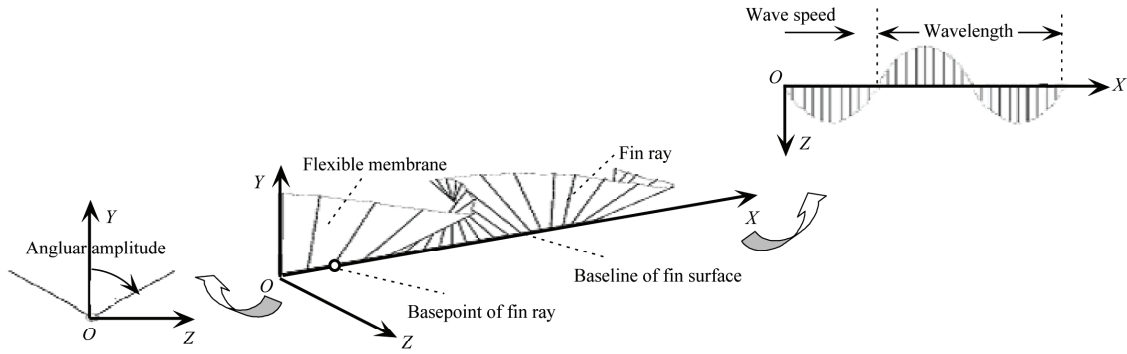


Figure 6. The fin surface coordinate system.

where t is the time; s is the coordinate along the baseline of the fin; l is the coordinate along fin ray; L is the length of the whole fin; Lq is the length of a fin ray and $\theta(s, t)$ is the oscillating angle, which describes the motion of fin ray functionally.

Each fin ray oscillates periodically around its respective basic point. Because the structure and driving system is same for all the fin rays, it is supposed that all fin rays' motion obeys the uniform motional law. Therefore, we could define all the fin rays' motion obey an identical periodic function, and parameters' values are different for each fin ray. The periodical motion law $\theta(s, t)$ could thus be expressed as:

$$\theta(s, t) = A(s)F(s, t) + \theta q(s) \quad (2)$$

where $A(s)$ is the oscillating amplitude of the fin ray at point s ; $F(\cdot)$ is a periodic function of $\varepsilon(t)$, whose period is 2π and the value interval is $[-1, 1]$, i.e., $F[\varepsilon(t)] = F[\varepsilon(t) \pm 2\pi]$; $\varepsilon(t)$ is a linear function of time t , which is $\varepsilon(t) = 2\pi ft + \varepsilon_0(s)$; $\varepsilon_0(s)$ is the initial motion phase of the fin ray at points s ; f is the undulating frequency and $\theta q(s)$ is the deviation angle of the fin ray at point s .

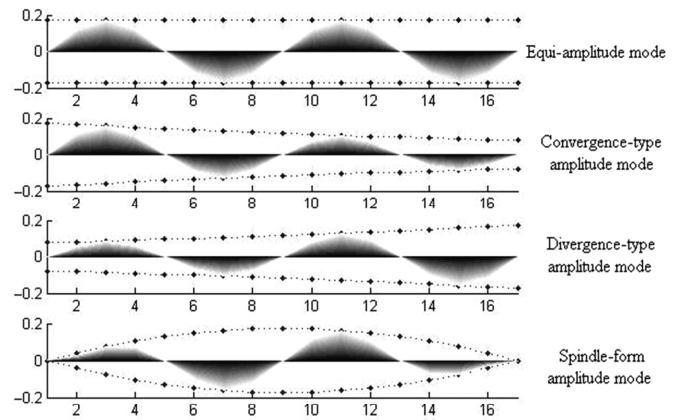


Figure 7. Wave amplitude of the undulating fin.

3.2 Analysis of the Kinematics Model

Based on the kinematics model, $F(\cdot)$, f , $A(s)$, $\varepsilon_0(s)$ and $\theta q(s)$ could completely determine the propulsive wave mode, if the fin surface length L , fin ray length Lq , as well as the number and spacing of fin rays have already been set. $F(\cdot)$ describes periodical oscillating motion of

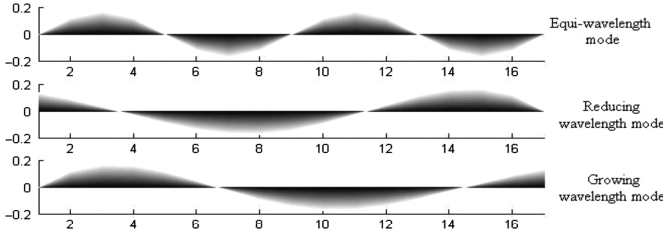


Figure 8. Wavelength of the undulating fin.

the fin ray. f describes the frequency of the propulsive wave, whose sign (positive or negative) also denotes the direction of wave travelling. $A(s)$ describes the amplitude of propulsive wave. If Lq has been determined, $A(s)$ is responsible for undulation modes of variable amplitudes, *e.g.*, divergence-type, convergence-type and spindle-form. $\varepsilon_0(s)$ describes the phase relation of fin rays. $\varepsilon_0(s)/2\pi f$ represents the time delay of the fin rays' motion. If $\varepsilon_0(s)$ is a constant, all fin rays oscillate synchronously, *i.e.*, standing wave mode. If $\varepsilon_0(s)$ is a linear function, *e.g.*, $\varepsilon_0(s) = (2\pi/\lambda)s + \varepsilon_0(0)$, the propulsion wave is generated with wavelength λ , *i.e.*, equi-wavelength mode. $\varepsilon_0(s)$ can describe the undulating mode of variable wavelengths as well. Moreover, the initial phase reflects the time-varying course of the wavelength if expressed as $\varepsilon_0(s, t)$. $\theta q(s)$ is the degree of each fin ray' motion symmetry axis deviating from plane Oxy , which allows the fin motion adapts to the varied surrounding flow fields and optimizes its propulsion performance.

These analyses will be further verified by simulation. For the independently driven bionic fin, there are 17 rigid fin rays in equal distribution. All the fin rays have unit length; $F(\cdot)$ is a sine function. $\theta(s, t)$ is expressed as follows:

$$\theta(s, t) = A(s) \sin[2\pi ft + \varepsilon_0(s)] + \theta q(s) \quad (3)$$

Figures 7–9 show the wave modes of simulation, where $A(s)$, $\varepsilon_0(s)$ and $\theta q(s)$ decide different undulating modes of propulsion waves. Figures 7 and 8 display the projection of fin surface on plane Oxz , where the horizontal ordinate uses serial number s of the fin ray, $s = 1, 2, \dots, 17$. Figure 9

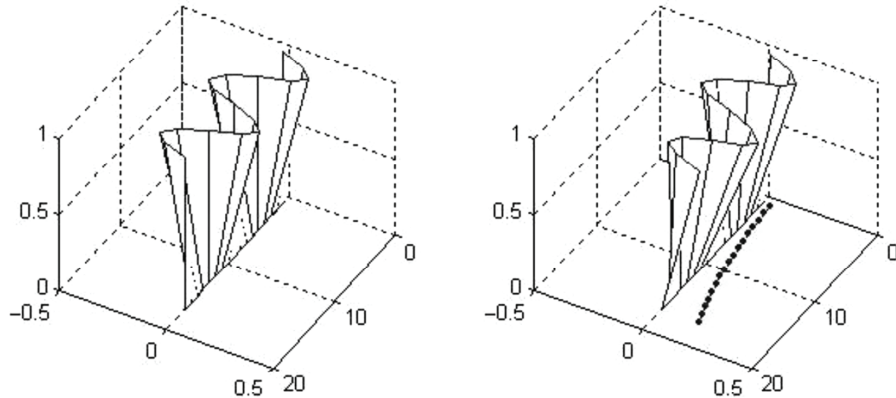


Figure 9. Lateral deflection of the undulating fin.

shows the 3D fin surface, in which x -coordinate is serial number s , y - and z -coordinates are dimensionless number.

Herein, the undulating mode is considered with uniform amplitude, wavelength and frequency. There is no lateral deviation and it is sinusoidal oscillating for our designed bionic fin. Then, the angle of fin ray $\theta(s, t)$ is represented as:

$$\theta(s, t) = \theta_{\max} \sin[2\pi ft + \varepsilon_0(s)] \quad (4)$$

where θ_{\max} is the maximum oscillating angle, and the lateral deviation $\theta q(s) = 0$. $\varepsilon_0(s)$ describes the uniform wavelength mode, which is set as $\varepsilon_0(s) = \varepsilon_{01} - 2\pi s/\lambda$, where ε_{01} is the initial phase of the first fin ray. The kinematics modelling of the bionic fins is illustrated in Fig. 10.

4. Dynamics Modelling of Bionic Undulating Fin

4.1 Dynamics Modelling

The hydrodynamic mechanism of the undulating fin propulsion is transient and unsteady. The fin's active and passive motion has the coupling relationship with the surrounding flow field. The study on hydrodynamics effect and energy consumption has remained an ongoing work [24]–[27]. Although the fluid drag model does not take the influences of fluid motion into consideration, it is still effective for qualitatively understanding the dynamics characteristics of the undulating fin [11]. The fluid drag model assumes that [4], [11]: (1) during the underwater locomotion, hydrodynamic forces acting on any surface element are divided into normal stress and shear stress; (2) the normal stress and shear stress are related to the instantaneous velocity, as presented in the following:

$$\vec{f}_n = \vec{F}_n/S = -\frac{1}{2}\rho C_s \|\vec{v}_n\|^2 \vec{v}_{n0} \quad (5)$$

$$\vec{f}_\tau = \vec{F}_\tau/S = -\frac{1}{2}\rho C_\tau \|\vec{v}_\tau\|^2 \vec{v}_{\tau0} \quad (6)$$

where \vec{f}_n and \vec{f}_τ are the stress vectors acting perpendicularly and in parallel with the propulsive element of the fin surface, respectively. \vec{F}_n and \vec{F}_τ are the corresponding

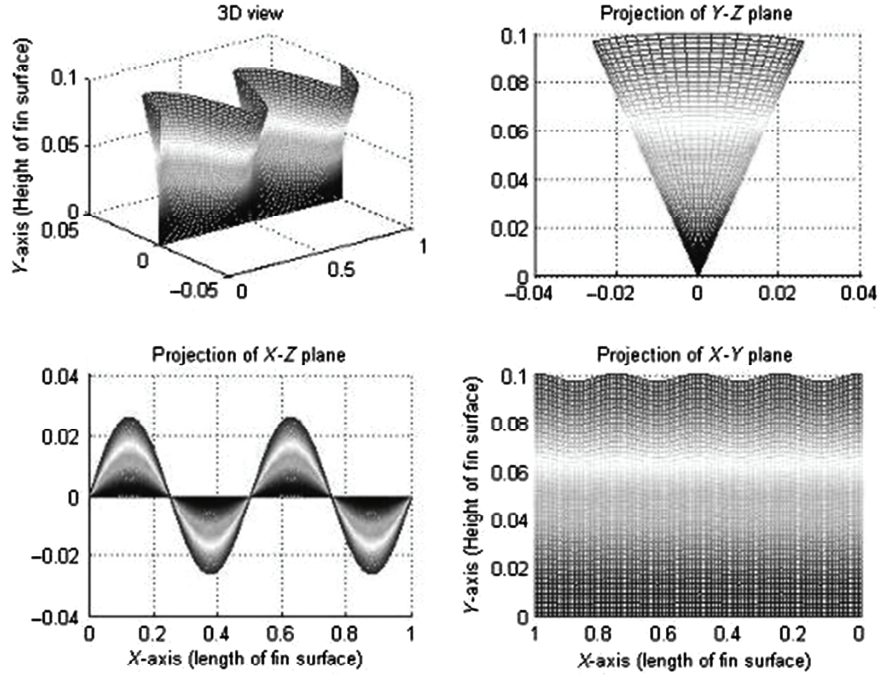


Figure 10. Kinematics modelling for the bionic undulating fins.

force vectors. \vec{v}_n and \vec{v}_τ are the instantaneous normal and tangential velocities of the surface element relative to the fluid, respectively. \vec{v}_{n_0} and \vec{v}_{τ_0} are the corresponding unit vectors. S is the area of the surface element. C_s and C_τ are the drag coefficients which is shape-dependent. ρ is the density of the surrounding fluid.

Furthermore, there are two more assumptions for our problem: (1) The Reynolds number $Re = v_b L / \nu$ exists in 10^6 orders of the magnitude, where $v_b = \omega \lambda / 2\pi$ is the phase speed of the propulsion wave, and ν is the viscosity coefficient of fluid. The undulating propulsion belongs to the hydrodynamic problem of high Reynolds number. Therefore it is regarded as non-viscous fluid and then the viscous resistance is negligible. (2) The fin length and wavelength satisfy the equation $L = n\lambda$, where n is an integer. Then the undulating fin surface presents periodicity and symmetry.

The above method and assumptions are employed to analyse the dynamics of undulating fins, whose active deformation satisfies the kinematics model (4). More derivation and details are stated in [4]. The hydrodynamic forces and moment could be calculated according to the following dynamics model:

$$\begin{bmatrix} F_{Xq} \\ F_{Yq} \\ F_{Zq} \\ M_{Xq} \\ M_{Yq} \\ M_{Zq} \end{bmatrix} = \Gamma(f, U_X) \begin{bmatrix} K_1 \\ 0 \\ 0 \\ 0 \\ K_2 \sin(2\pi ft + e_{0q}^{M_Y}) \\ K_3 + K_4 \sin(4\pi ft + e_{0q}^{M_Z}) \end{bmatrix} \quad (7)$$

where $\Gamma(f, U_X) = -\text{sign}(f + U_X/\lambda) \rho C_s (f + U_X/\lambda)^2 / 2$ is the kinematic coefficient that's independent of the

coordinates variables s and l . U_X is the swimming velocity of the whole fin. The dynamic coefficients $K_i (i = 1, 2, 3, 4)$ are the functions of the design parameters, *i.e.*, aspect ratio of the fin surface, the wavelength and the wave amplitude. Equation (7) indicates that the hydrodynamic forces and moments have relationships with both the undulation and the carrier motion. In this way, the design parameters could be described by $\Gamma(f, U_X)$ and $K_i (i = 1, 2, 3, 4)$.

4.2 Simulation of the Dynamics Models

According to the dynamic models, the parameters studied are further discussed. First of all, it is necessary to make $\Gamma(f, U_X)$ and $K_i (i = 1, 2, 3, 4)$ non-dimensional for catholicity. The dimension analysis of $\Gamma(f, U_X)$ and $K_i (i = 1, 2, 3, 4)$ are expressed as follows:

$$[\Gamma(f, U_X)] = [FL^{-4}], [K_1] = [L^4], [K_i] = [L^5], (i = 2, 3, 4)$$

Then $\Gamma(f, U_X)$ and $K_i (i = 1, 2, 3, 4)$ are non-dimensionalized:

$$\tilde{\Gamma}(f, U_X) = \frac{\Gamma(f, U_X)}{-\text{sign}(f) \rho C_s f^2 / 2} \quad (8)$$

$$\tilde{K}_1 = K_1 / L^4, \tilde{K}_i = K_i / L^5, (i = 2, 3, 4) \quad (9)$$

where $\tilde{\Gamma}(f, U_X)$ is dimensionless kinematic coefficient and $-U_X/\lambda f$ is dimensionless swimming velocity. Figure 11 shows the relation between $\tilde{\Gamma}(f, U_X)$ and $-U_X/\lambda f$. The force generated is kind of decided by $-U_X/\lambda f$, and Table 1 gives the basic principles. The thrust is generated as net force when $-U_X/\lambda f < 1$, and resistance is generated when $-U_X/\lambda f > 1$. In addition, $\Gamma(f, U_X)$ has an approximate second-degree polynomial relationship with the swimming velocity U_X and wave frequency f .

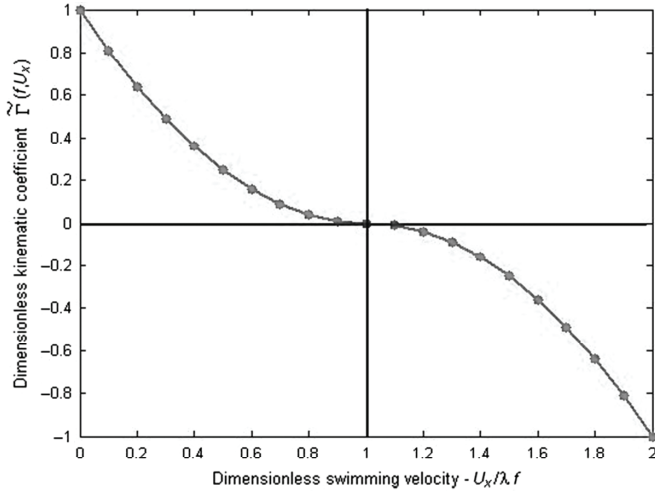


Figure 11. The relationship between $\tilde{\Gamma}(f, U_X)$ and $-U_X/\lambda f$ of dynamics simulation.

Table 1
Basic Principle of Thrust Generation

Condition	Results
$-U_X/\lambda f < 1$	Thrust generation
$-U_X/\lambda f > 1$	Resistance generation
$-U_X/\lambda f = 1$	Thrust is zero

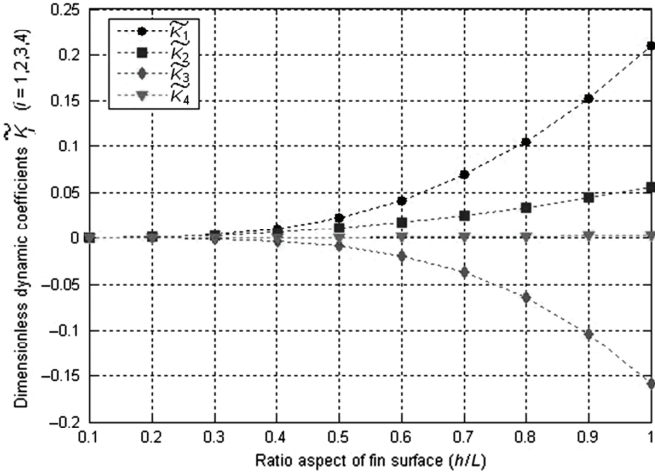


Figure 12. Effect of aspect ratio h/L on \tilde{K}_i .

The parameters effect on $\tilde{K}_i (i=1, 2, 3, 4)$ is also discussed based the dynamics model, while the design parameters are taken into consideration, as shown in Figs. 12–14, which are the aspect ratio h/L , the maximum undulating angle θ_{\max} and the wave number L/λ , respectively.

There are steady and unsteady components contained in the forces and moments, as described in (7). It is preferred to keep the coefficients of steady components as a big value, such as \tilde{K}_1 and \tilde{K}_3 , and the coefficients of periodic components as a small value, such as \tilde{K}_2 and \tilde{K}_4 . Figures 12 and 13 show that $\tilde{K}_i (i=1, 2, 3, 4)$ have a square

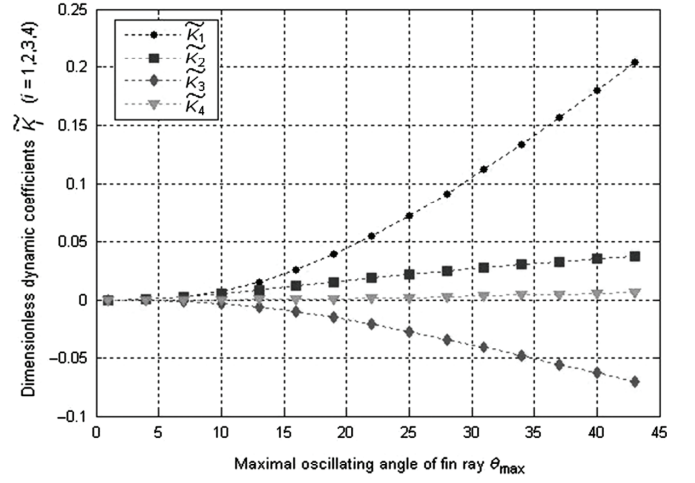


Figure 13. Effect of maximum undulating angle θ_{\max} on \tilde{K}_i .

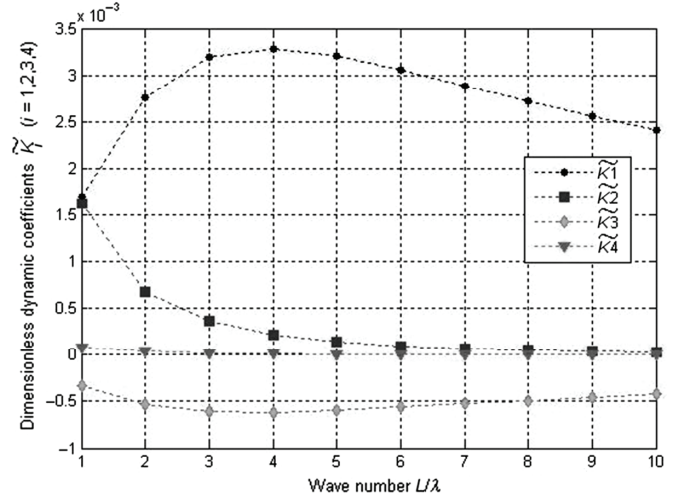


Figure 14. Effect of wave number L/λ on \tilde{K}_i .

relationship with h/L and θ_{\max} . In the other hand, those design parameters are limited by the structural strength and driving capability of the actuating mechanism. The actuator and the fin surface are easy to be damaged or the undulating fin cannot achieve high frequency, if h/L and θ_{\max} are too big. The coefficients \tilde{K}_2 and \tilde{K}_4 decrease monotonically as the wave number L/λ increases. There is an optimal L/λ which makes \tilde{K}_1 and \tilde{K}_3 achieve the extremum, as shown in Fig. 14. The coefficient \tilde{K}_4 is small enough to be negligible relative to other coefficients, which is also insensitive to h/L , θ_{\max} and L/λ . Therefore it could be ignored in practice. Those relationships obtained by dynamics analysis would provide valued references for the bionic fin design.

5. Initial Experiment of Bionic Undulating Fin

The independently driven bionic fin is used for the kinematics and dynamics analysis, and verifies the proposed dynamics model. The thrust and pitching moment are

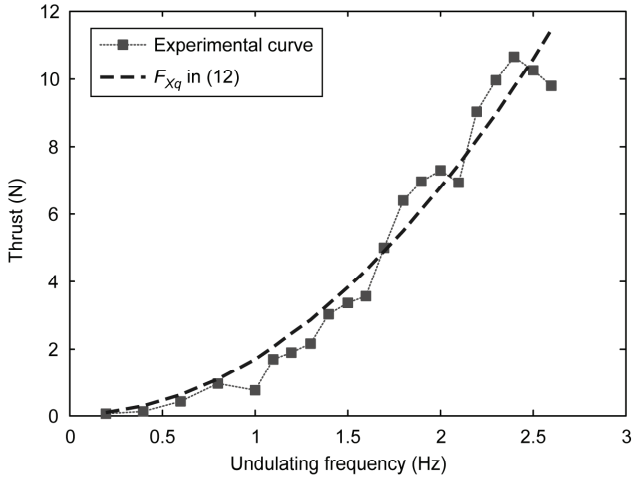


Figure 15. Experimental and theoretical comparison of the relationship between thrust and undulating frequency.

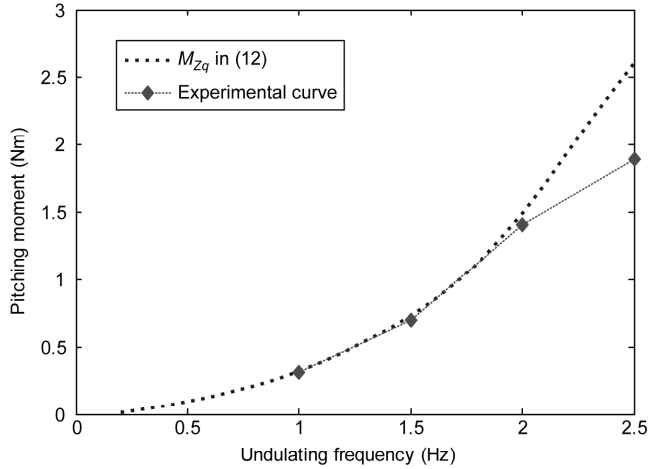


Figure 16. Experimental and theoretical comparison of relationship between pitching moment and the undulating frequency.

experimentally measured under the condition of $U_X = 0$. The base of the bionic fin is fixed in position. The thrust is measured by dynamometers directly. The measuring results of dynamometers are transferred to the moment through multiplying the corresponding arm of force. The fin length is $L = 0.6$ m and the maximal undulating angle is $\theta_{\max} = 15^\circ$ in the experiment. The wavelength is $\lambda = 0.6$ m, thus the wave number is $n = 1$. The width of fin surface is $h = 0.15$ m, thus the aspect ratio is $h/L = 0.25$. The density of fluid is $\rho = 10^3$ kg/m³.

Figure 15 shows the thrust against undulating frequency which varies from 0.2 to 2.6 Hz. The experimental result is compared with the theoretical result. In addition, drag coefficient of the surface element C_s in (7) could be further identified, which is unknown in the theoretical model. Based on the experimental data, C_s is identified to be 15.4 using the least-square method. The theoretical and experimental results of the pitching moment are shown in Fig. 16. The theoretical curves are substantial agreement with the experimental curves. The thrust and

Table 2
Velocity Test Results with Different Undulating Parameters

Undulating Frequency (Hz)	Velocity (m/s)	
	Aspect Ratio: 0.25 Length of Fin Ray: 0.15 m	Aspect Ratio: 0.167 Length of Fin Ray: 0.10 m
2.0	0.284	–
2.5	0.334	0.195
3.0	0.362	0.222
3.5	0.400	0.256
4.0	0.424	0.283
4.5	0.416	0.305

pitching moment both have square relationships with the frequency. But the thrust or pitching moment won't increase any more after the frequency is bigger than 2.3 Hz. This phenomenon is probably because that the undulating fin could not reach the desired frequency when the driving system enters into its saturation region.

The relationships between wave frequency and hydrodynamic thrust and pitching moment are tested while U_X is zero. We further test the relationship between the steady zero thrust velocity and undulating parameters. Zero thrust velocity means that the thrust and drag results balance, when the net force is nearly zero and the underwater device swims in steady velocity along the axis direction. The aspect ratio of fin ray is set as 0.25 and 0.167. The velocity test results under different undulating frequency is given in Table 2. The zero thrust velocity and wave frequency show approximately linear proportional relationship. Computational fluid dynamics (CFD) analysis showed that the relationship curve should be nearly quadratic function [28]. But the experimental results show more closely a linear relationship because the torque and response velocity of the motor are limited. When the load exceeds limit values, the desired undulating frequency could not be reached, and swimming velocity could not increase anymore. Besides, bigger aspect ratio results in greater velocity under our experimental set-up conditions.

6. Conclusion

In this paper, both fixed-waveform and independently driven bionic propulsors are designed which imitate the undulating fish fin. The kinematics model is established to describe undulating motion, and the simulation result shows good performance. The dynamics of the bionic undulating fin is theoretical analysed using the fluid drag model. The effects on dynamics of geometric parameters, undulating parameters as well as the swimming velocity are also studied by simulation. The experimental results show that the proposed kinematics and dynamics models

are effective for the characteristic investigation of the undulating motion. The kinematics and dynamics models will further provide guidance for the mechanical structure and control system development. The potential flow theory and the hydrodynamic experiment will be further exploited to establish the more accurate model.

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